

CDM Validation and Verification Quiz, Questions and Answers

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1. What kind of organizations can be a DOE?
A. A domestic company B. An international company
C. A government agency

Answer: A and B. (A DOE is a domestic or international legal entity)

2. Who decides how much fee a DOE charge for the validation of a CDM project?
- A. The CDM EB
 - B. The DOE itself

Answer: B. (The fee is determined by the DOE and the project proponent)

3. Most widely known DOEs are from developed countries, are there any DOE from developing countries?

A. Yes

B. No

Answer: A. (Yes, there are some DOEs from South Korea, China, and India.)

4. Can a DOE includes a disclaimer in its validation or verification report to exclude or restrict its liability?
- A. Yes
 - B. No

Answer: B.

5. The full name of DOE is Designated Operational Entity, who approves and designates a DOE?

A. The CDM Executive Board

B. CMP (Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol)

Answer: A. (A DOE is accredited and designated, on a provisional basis by the CDM EB, until confirmed by the CMP.)

6. Is pre-verification a mandatory step in the CDM Project cycle?

A. Yes

B. No

Answer: B.

7. Does pre-verification lead to request for CER issuance?

A. Yes

B. No

Answer: B.

8. Does a CDM project need to hire the same DOE for all the verifications to be conducted for the project?

A. Yes

B. No

Answer: B.

9. If a DOE gives a project negative validation conclusion, can the project proponent submit the project for validation by another DOE?
- A. Yes
 - B. No

Answer: A.

Questions and Answers

1. Question: Where can we find a complete list of DOEs?

Answer: at the UNFCCC website:

<http://cdm.unfccc.int/DOE/list/index.html>

DOE list – each DOE's sector coverage for validation and verification

<http://cdm.unfccc.int/DOE/scopes.html>

DOE list – approved methodologies and DOEs for validation and verification in each sector

2. Question: Once an organisation is accredited and deisignated as a DOE, can it validate and verify any CDM project?

Answer: Not necessarily. DOE accreditation and designation is for validation or verification in one or more of the 15 sectors. Some DOE are accredited to do validation and verification for all sectors. Some can do validation and verification only for some of the 15 sectors.

3. **Question:** Who are the most active DOEs doing most of the validation and verification?

Major DOEs and their share of CDM Validation and Verification



Short name	Validated CDM projects				Validated projects requesting registration			Verified projects	
	Total		Rejected by DOEs		Number	Share with req. review	Share rejected by the EB	Total	
	Number	%	Number	%				Number	%
DNV	2202	26.3%	369	16.8%	1322	41.8%	6.5%	296	25.0%
TÜV-SÜD	1190	14.2%	241	20.3%	762	52.4%	2.9%	147	12.4%
TÜV-Nord	1073	12.8%	122	11.4%	319	43.9%	3.4%	118	10.0%
SGS	851	10.2%	162	19.0%	445	45.2%	6.5%	289	24.4%
BV Cert	739	8.8%	111	15.0%	258	42.2%	10.1%	156	13.2%
TÜV-Rhein	649	7.8%	74	11.4%	216	26.9%	3.2%	29	2.5%
Rina	204	2.4%	19	9.3%	24	25.0%		5	0.4%
JCI	172	2.1%	13	7.6%	78	53.8%	3.8%	6	0.5%
LRQA	174	2.1%	19	10.9%	51	29.4%		11	0.9%
ERM CVS	133	1.6%	7	5.3%	41	14.6%		24	2.0%
AENOR	131	1.6%	9	6.9%	46	23.9%	6.5%	11	0.9%
KFQ	101	1.2%	2	2.0%	45	35.6%	4.4%	4	0.3%
Sirim	92	1.1%	1	1.1%	14	14.3%		2	0.2%
CQC	78	0.9%	1	1.3%	17	11.8%		9	0.8%
JQA	74	0.9%	17	23.0%	42	64.3%	16.7%	10	0.8%
KEMCO	68	0.8%	4	5.9%	43	46.5%	4.7%	4	0.3%
CEC	63	0.8%	1	1.6%	26	26.9%		19	1.6%
JACO	57	0.7%			31	41.9%	9.7%	12	1.0%
GLC	57	0.7%	6	10.5%	14	14.3%		10	0.8%
...
	8370	100.0%	1181	14.1%	3866	1656	206	1183	100.0%

Source: UNEP Risoe CDM Pipeline 1 Oct 2011, www.cdmpipeline.org

4. Question: We want to find an experienced DOE to do validation for our project. Where can we find how many projects each DOE validated and verified?

Answer:

- a) From each DOE's website, each DOE is required to maintain a publicly available list of all CDM project activities for which it has carried out validation, verification and certification;
- b) From the UNEP Risoe CDM Pipeline (www.cdmpipeline.org)

5. Question: In which situation can a project use the same DOE for both validation and verification?

Answer: Small scale projects

6. **Question:** During validation, how does the DOE check whether a proposed project meets all the applicable requirements or not?

Answer

- A. Desk review of PDD and information from other sources
- B. Site visit
- C. Telephone/e-mail interview

7. Question: If a DOE fails to do the proper check of a CDM project during the validation and verification, and this leads to requests for review of the project, what liabilities will the DOE face?

Answer:

- a) If the review indicates any issues relating to performance of the DOE, the Board shall consider whether to trigger a spot-check of the DOE, in accordance with the procedures for accrediting operational entities.
- b) The DOE will cover all the costs related to the spot-check
- c) If a DOE is found to be in the situation of fraud, malfeasance or incompetence, the DOE shall reimburse the costs incurred as a result of the review.
- d) Executive Board may recommend to the COP/MOP to suspend or withdraw the designation of a designated operational entity

8. Question: If a DOE fails to do the proper check of a CDM project during the verification process and this leads to excess issuance of CERs. What liabilities will the DOE face?

Answer: A. Existing decisions of the CMP:

- (a) Require suspension or withdrawal of accreditation of DOE;
- (b) Require the identification of significant deficiencies in validation or verification report;
- (c) Require a review of a the significant deficiencies by an different, appointed DOE;
- (d) Require correction of the significant deficiencies;
- (e) Require the EB to determine if any of the significant deficiencies resulted in the excess issuance of CERs;
- (f) Require the DOE to acquire and transfer the excess-issued CERs within 30 days to a cancellation account of the CDM registry.

9. Question: Where can we find information about the information about the contents and criteria the DOEs follow during CDM project validation and verification, so that we can better prepare for it?

Answer: CDM validation and verification requirements are described in detail in the [CDM Validation and Verification Manual \(VVM\)](#) (EB 55, Annex 1). DOEs refer to the VVM as a guide to ensure that they achieve quality and consistency in their validation and verification work.

10. Question: If the CDM EB suspends or withdraws a DOE's designation, will this affect registered CDM projects validated or verified by this DOE?

Answer:

Registered project activities are not affected by the suspension or withdrawal of a DOE's designation, unless significant deficiencies are identified in the relevant validation or verification and certification report for which that DOE was responsible

11. **Question:** If a DOE's accreditation is suspended, can the suspension be revoked?

Answer: The DOE must take corrective actions within 12 months to address the non-conformities that must be addressed and submit evidence to the CDM EB, once it is confirmed the corrective actions are sufficient, the suspension can be revoked.

12. Question: A DOE is accredited to do validation or verification in a specific sector, what is the valid period of such accreditation?

Answer: Three years. After 3 years, the DOE can apply for re-accreditation.